### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Justin Crank, et al.

Serial No.:

Unassigned

Filing Date:

Herewith

For:

INFUSION DEVICES AND

**METHOD** 

Group Art Unit: 3747 (Anticipated)

Examiner: Hyder Ali (Anticipated)

M.S. <u>Patent Application</u> Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

### CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

SCIMED Life Systems, Inc., a corporation, certifies that it is the assignee of the entire right, title, and interest in the United States patent application identified above by virtue of an assignment from Justin Crank, Scott Larson and Timothy Mickley. The assignment was recorded in a related application (Application Serial No. 09/747,157) by the Assignment Division of the United States Patent and Trademark Office on December 21, 2000, at reel 011800, frame 0843. A copy of the assignment and the recordation cover sheet are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these

statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

July 14, 2003

(Reg. No.\_

Assistant Secretary

 $on \ behalf \ of:$ 

SCIMED Life Systems, Inc.

DC01 461332 v 1



# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

AUGUST 01, 2001

PTAS

CROMPTON, SEAGER & TUFTE, LLC GLENN M. SEAGER 331 SECOND AVENUE SOUTH, SUITE 895 MINNEAPOLIS, MN 55401-2246



\*101722036A\*

## UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/14/2001

REEL/FRAME: 011800/0843

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CRANK, JUSTIN

DOC DATE: 03/15/2001

ASSIGNOR:

LARSON, SCOTT

DOC DATE: 03/16/2001

ASSIGNOR:

MICKLEY, TIMOTHY J.

DOC DATE: 03/15/2001

ASSIGNEE:

SCIMED LIFE SYSTEMS, INC. ONE SCIMED PLACE MAPLE GROVE, MINNESOTA 55311

SERIAL NUMBER: 09747157

PATENT NUMBER:

FILING DATE: 12/21/2000

ISSUE DATE:

011800/0843 PAGE 2

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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	Patent and Trademark Office

lemarks: iy thereof.

1	•	Nama	A F	aanvavina	narty(iec).
ı.		rame	VΙ	conveying	party(ies):

Justin Crank Scott Larson Timothy J. Mickley 2. Name and address of receiving party(ies):

Name: SciMed Life Systems, Inc.

Internal Address:

Street Address: One SciMed Place

City: Maple Grove

ZIP: 5531 State:

Additional name(s) of conveying party(ies) attached? o Yes. X No

3. Nature of conveyance:

- X Assignment
- o Merger
- o Security Agreement
- o Change of Name

o Other

Execution Date: March 15, 2001 and March 16, 2001

Additional name(s) & addresses attached?

o Yes X No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

U.S. Appln. Serial Nd. 09/747,157, filed December 21, 2000

B. Patent No.(s)

Additional numbers attached? o Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

Glenn M. Seager

Address:

CROMPTON, SEAGER & TUFTE, LLC 331 Second Avenue South, Suite 895 Minneapolis, Minnesota 55401-2246

- 6. Total number of applications and patents involved:\_ 1
- 7. Total fee (37 CFR 3.41). \$40.00
- Enclosed
- Authorized to be charged to deposit account any deficiencies in the enclosed fees or credit any overpayment.
- 8. Deposit Account Number: 50-0413 (Attach duplicate copy of this page if paying by deposit account)

5/17/2001 DBYRNE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing infogniation is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing: Glenn M. Seager Signature:

Total number of pages comprising cover sheet, attachments and document: 4

OMB No. 0651-0011 (exp. 4/94)

Our File No.: 1001.1429101

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#### ASSIGNM ENT

WHEREAS, Justin Crank residing at 1075 17<sup>th</sup> Avenue Southeast, Minneapolis, Minnesota 55414; Scott Larson residing at 9108 West 22<sup>nd</sup> Street, St. Louis Park, Minnesota 55426; and Timothy J. Mickley residing at 21870 Xenon Street, Elk River, Minnesota 55330 have made certain new and useful inventions and improvements for which they have executed an application for Letters Patent of the United States and which may be identified in the United States Patent and Trademark Office by Serial No. 09/747,157, filed December 21, 2000 and is entitled INFUSION DEVICES AND METHOD;

AND WHEREAS, SciMed Life Systems, Inc., a corporation organized and existing under and by virtue of the laws of Minnesota, and having a business address of One SciMed Place, Maple Grove, Minnesota 55311 is desirous of acquiring the entire right, title and interest in and to said inventions, improvements, application, and Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said *SciMed Life Systems, Inc.*, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid inventions and improvements to said *SciMed Life Systems, Inc.*, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any other documents, and will communicate to said *SciMed Life Systems, Inc.*, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to more effectually secure to and vest in *SciMed Life Systems, Inc.*, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we, Justin Crank, Scott Larson and Timothy J. Mickley, covenant and agree with said SciMed Life Systems, Inc. its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

In Witness Whereof, we have hereunto set our hands and affixed our seals as follows:

1 t m	
Justen // Cra	Date 3/15-/2001
Justin Grank	
THE STATE OF THE S	3/16/0/
Scott Larson	Date
The of Maha	3-15-01
Timothy J. Mickley	Date
STATE OF GMN )	
COUNTY OF Henriper ) ss.	
COUNTY OF Henriper )	
On this 15th day of Maris & 2	, 20, before me personally appeared Justin Crank, to
me known to be the person des	cribed in and who executed the foregoing instrument and
	the same as his/her free act and deed.
	ma) Meliano
WENDY GAY NISTLER CAN NOTARY PUBLIC - MINNESOTA	Jenaly Mustle Notary Public
	Motary Public
My Commission Expires 1/31/2005 (Seal)	
(Belly)	
STATE OF )	
STATE OF )	
STATE OF ) ss. COUNTY OF )	20 GM, hafara wa narganally appeared Scatt Largen, to
STATE OF ) ) ss.  COUNTY OF )  On this // day of March	✓ , 2001, before me personally appeared Scott Larson, to
STATE OF ) ss.  COUNTY OF )  On this // day of // Macheme known to be the person des	, 20
STATE OF ) ss.  COUNTY OF )  On this // day of // Macheme known to be the person des	cribed in and who executed the foregoing instrument and
STATE OF ) ss.  COUNTY OF )  On this // day of // Macker me known to be the person des acknowledged that he/she executed	cribed in and who executed the foregoing instrument and the same as his/her free act and deed.
STATE OF  ) ss.  COUNTY OF  On this // day of // Macker  me known to be the person des  acknowledged that he/she executed	cribed in and who executed the foregoing instrument and



STATE OF	Unn	),	
COUNTY OF	Hennepin	)	SŞ

On this 15th day of March, 2001, before me personally appeared Timothy J. Mickley, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed.

Wendy H Wistler

Notary Public





### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

AUGUST 01, 2001

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DOC DATE: 03/15/2001

ASSIGNOR:

LARSON, SCOTT

DOC DATE: 03/16/2001

ASSIGNOR:

MICKLEY, TIMOTHY J.

DOC DATE: 03/15/2001

ASSIGNEE:

SCIMED LIFE SYSTEMS, INC. ONE SCIMED PLACE

MAPLE GROVE, MINNESOTA 55311

SERIAL NUMBER: 09747157

PATENT NUMBER:

FILING DATE: 12/21/2000

ISSUE DATE:

011800/0843 PAGE 2

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 101722036

lemarks: by thereof.

1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
Justin Crank	Name: SciMed Life Systems, Inc.
Scott Larson	Traine. Somes Ene Systems, mer
Timothy J. Mickley	Internal Address:
	Street Address: One SciMed Place
	City: Maple Grove State: MN ZIP: 55311
Additional name(s) of conveying party(ies) attached? o Yes X No	
3. Nature of conveyance:  X Assignment o Merger o Security Agreement o Change of Name	
o Other	
	Additional name(s) & addresses attached?
Execution Date: March 15, 2001 and March 16, 2001	o Yes X No
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application, the execution	on date of the application is:
A Patent Application No.(s)	B. Patent No.(s)
(0.07.07.157)	
U.S. Appln. Serial No. 09/747,157, filed December 21, 2000	
Additional numb	pers attached? o Yes X No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:l
Name: Glenn M. Seager	7. Total fee (37 CFR 3.41). <u>\$40.00</u> X Enclosed
Address: CROMPTON, SEAGER & TUFTE, LLC 331 Second Avenue South, Suite 895 Minneapolis, Minnesota 55401-2246	X Authorized to be charged to deposit account any deficiencies in the enclosed fees or credit any overpayment.
printicapones, remission est 174 es	8. Deposit Account Number: 50-0413
	(Attach duplicate copy of this page if
17/2001 DBYRNE 00000203 09747157	paying by deposit account)
C:581 40,00 QP DO NOT	USE THIS SPACE
9. Statement and signature.	T USE THIS SPACE
DONOI	<u> </u>
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true a	<u> </u>
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true is a true copy of the original document.	and correct and any attached copy  Date MAY 10 2001
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true is a true copy of the original document.  Name of Person Signing: Glenn M. Seager Signature:  Total number of pages comprising cover sheet, attachments and do	and correct and any attached copy  Date MAY 10, 2001

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NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said *SciMed Life Systems, Inc.*, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid inventions and improvements to said *SciMed Life Systems, Inc.*, its successors and assigns.

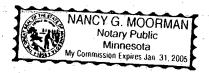
AND, for the consideration aforesaid, we do hereby agree that our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any other documents, and will communicate to said *SciMed Life Systems, Inc.*, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to more effectually secure to and vest in *SciMed Life Systems, Inc.*, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

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In Witness Whereof, we have hereunto set our hands and affixed our seals as follows:

1 tom 1			1	
Justin Chank	me	Date S	115/2001	
		> /	1/21	
Scott Larson	· · · · · · · · · · · · · · · · · · ·	Date /	401	
A 4 0 m		Date 5	0.1:	
dinothy & Yhoury	<del>, ; _ ,</del>	3-15-	-01	·
Timothy J. Mickley		Date		
STATE OF GMV )				
COUNTY OF Henry ) ss.		· · · · · · · · · · · · · · · · · · ·		
On this 15th day of March me known to be the person described	, 20 <i>_Q</i> /, t	pefore me pe who execut	rsonally appeare	ed Justin Crank, to
acknowledged that he/she executed				
,				
WENDY GAY NISTLER	Jendy M	Vistle !		5
NOTARY PUBLIC - MINNESOTA SHERBURNE COUNTY My Commission Expires 1/31/2005	Notary F	Public		
(Seal)		. "		
		* * *		
STATE OF )				
COUNTY OF ) ss.				
On this day of March me known to be the person descacknowledged that he/she executed	cribed in and	who execut	ed the foregoir	ed Scott Larson, to
acknowledged that he she excedded	the same as m	sinci fice act	and dood.	
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STATE OF L	mn)	)	
	)	)	SS
COUNTY OF A	lenneper)	)	

On this 15th day of Mark 1, 2001, before me personally appeared Timothy J. Mickley, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed.

Welley H Vistler
Notary Public

